

POMA PTO 1390* (REV 5-93) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER 1416/OP551PCUS
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		U.S. APPLICATION NO. <small>(if known, see 37 CFR 1.12)</small> NEW 09/142613
International Application No. PCT/JP97/00804	International Filing Date March 13, 1997	Priority Date Claimed March 13, 1996
Title of Invention ANTI-PHOSPHORYLATED TAU PROTEIN ANTIBODIES AND METHODS FOR DETECTING ALZHEIMER'S DISEASE WITH THE USE OF THE SAME		
Applicant(s) For DO/EO/US Koichi ISHIGURO, Kazuki SATO, Jun-Mi PARK, Tsuneko UCHIDA and Kazutomo IMAHORI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. ATTACHMENT A c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) </p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)). <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input checked="" type="checkbox"/> An unexecuted oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT C</p> <p>9. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).</p>		
Items 10. to 13. below concern other document(s) or information included:		
<p>10. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT D</p> <p>11. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>12. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>13. <input type="checkbox"/> Other items or information:</p>		

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) NEW	INTERNATIONAL APPLICATION NO. PCT/JP97/00804	ATTORNEY'S DOCKET NO. 1416/OP551PCUS
14. [X] The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO..... \$ 930.00 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1,070.00		CALCULATIONS \$930.00
ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Claims Number Filed Number Extra Rate Total Claims -20 = X \$22.00 \$ Independent Claims - 3 = X \$82.00 \$ Multiple dependent claim(s) (if applicable) + \$270.00 \$270.00		\$ TOTAL OF ABOVE CALCULATIONS = Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28) SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +
TOTAL FEES ENCLOSED = Amount to be refunded \$ Amount to be charged \$		\$1,200.00 \$1,200.00 \$1,200.00
a. [X] A check in the amount of <u>\$1,200.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> . A duplicate copy of this form is enclosed.		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Ste. 800 Washington, D.C. 20006		 SIGNATURE <u>Warren M. Cheek, Jr.</u> NAME
33,367 REGISTRATION NUMBER September 11, 1998		[CHECK NO. <u>29826</u>]

09/142613

300 Rec'd PCT/PTO 11 SEP 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Koichi ISHIGURO et al. : ATTN: APPLICATION BRANCH
Serial No. NEW : Attorney Docket No.
Filed September 11, 1998 : 1416/OP551PCUS

ANTI-PHOSPHORYLATED TAU PROTEIN
ANTIBODIES AND METHODS FOR DETECTING
ALZHEIMER'S DISEASE WITH THE USE OF
THE SAME

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ALL DEFICIENCIES IN THIS
ITEM FOR THIS FIRM TO DEPOSIT
ACCOUNT NO. 26-0975

COVER LETTER FOR APPLICATION FILED

WITHOUT ORIGINAL EXECUTED DECLARATION

Assistant Commissioner for Patents,

Washington, D.C. 20231

Sir:

The above-identified application has been submitted without an original executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed original oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(d).

An unexecuted copy of the Declaration and Power of Attorney, containing the required inventorship information, is attached herewith. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and

Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006

The required \$1,200.00 U.S. Patent and Trademark Office filing fee is attached herewith.

Respectfully submitted,
Koichi ISHIGURO et al.

By: Warren Cheek
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September 11, 1998